



Atty. Dkt. No. 034536-0906

TPW

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Peng Cho TANG et al.  
Title: BICYCLIC PROTEIN KINASE INHIBITORS  
Appl. No.: 10/725,267  
Filing Date: 12/02/2003  
Examiner: Unassigned  
Art Unit: 1624

**INFORMATION DISCLOSURE STATEMENT**  
**UNDER 37 CFR §1.56**

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Submitted herewith on Form PTO/SB/08 is a listing of documents known to Applicants in order to comply with Applicants' duty of disclosure pursuant to 37 CFR §1.56. A copy of each listed document, except as noted below, is being submitted to comply with the provisions of 37 CFR §1.97 and §1.98.

The USPTO has waived the requirement under 37 CFR 1.98(a)(2)(i) to submit copies of U.S. patents and U.S. patent application publications when citing and submitting an Information Disclosure Statements in a patent application filed after June 30, 2003 and in an international application that has entered the national stage under 37 USC §371 after June 30, 2003. Accordingly, copies of these types of documents are not being supplied in connection with this application. Reference is being made to OG Notice dated August 5, 2003, *Information Disclosure Statements May Be Filed Without Copies of U.S. Patents and Published Applications in Patent Applications filed after June 30, 2003.*

The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the

present application or that such document is considered material to patentability as defined in 37 CFR §1.56(b). Applicants do not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document which is determined to be a *prima facie* art reference against the claims of the present application.

**TIMING OF THE DISCLOSURE**

The listed documents are being submitted in compliance with 37 CFR §1.97(b), before the mailing date of the first Office Action on the merits.

**RELEVANCE OF EACH DOCUMENT**

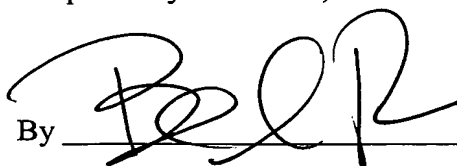
The documents listed on the attached PTO/SB/08 were cited by the Examiner as being relevant during the prosecution of the a related U.S. application, Serial No. 10/725,079.

Applicants respectfully request that the listed documents be considered by the Examiner and be made of record in the present application and that an initialed copy of Form PTO/SB/08 be returned in accordance with MPEP §609.

The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 CFR §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 19-0741. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 19-0741.

Respectfully submitted,

Date 18 October 2004

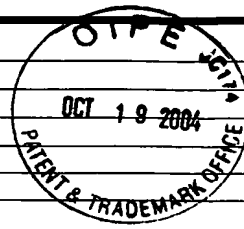
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Substitute for form 1449B/PTO <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)				<b>Complete if Known</b>													
Sheet		1	of	1	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 50%;">Application Number</td> <td>10/725,267</td> </tr> <tr> <td>Filing Date</td> <td>12/02/2003</td> </tr> <tr> <td>First Named Inventor</td> <td>Peng Cho TANG</td> </tr> <tr> <td>Group Art Unit</td> <td>1624</td> </tr> <tr> <td>Examiner Name</td> <td>Unassigned</td> </tr> <tr> <td>Attorney Docket Number</td> <td>034536-0906</td> </tr> </table>	Application Number	10/725,267	Filing Date	12/02/2003	First Named Inventor	Peng Cho TANG	Group Art Unit	1624	Examiner Name	Unassigned	Attorney Docket Number	034536-0906
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U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> (if known)			
	B1	6,677,368	B2	CUI et al.	01-13-2004	
	B2	6,642,232	B2	MATTSON et al.	11-04-2003	
	B3	6,635,640	B2	TANG et al.	10-21-2003	
	B4	6,486,185	B1	McMAHON et al.	11-26-2002	
	B5	6,395,734	B1	TANG et al.	05-28-2002	
	B6	6,316,635	B1	TANG et al.	11-13-2001	
	B7	6,313,158	B1	TANG et al.	11-06-2001	

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>6</sup>
	B8	BENNETT and PLUM (Eds.), <u>Cecil Textbook of Medicine</u> , 20 <sup>th</sup> Ed., Vol. 1, 1996, pp. 1004-1010, W.B. Saunders Company	
	B9	WOLFF (Ed.), <u>Burger's Medicinal Chemistry and Drug Discovery</u> , 5 <sup>th</sup> Ed., Vol. 1: Principles and Practice, 1995, pp. 975-977, John Wiley & Sons	
	B10	HASAN, "VEGF antagonists," <u>Expert Opin. Biol. Ther.</u> , July 2001, pp. 703-718, Vol. 1, No. 4, ABSTRACT ONLY	
	B11	PEGGRAM et al., "Combined biological therapy of breast cancer using monoclonal antibodies directed against HER2/neu protein and vascular endothelial growth factor," <u>Semin. Oncol.</u> , June 2002, pp. 29-37, Vol. 3, Suppl. 11, ABSTRACT ONLY	

Examiner Signature	Date Considered
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

<sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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